# Strong instability of standing waves for nonlinear Schrödinger equations with double power nonlinearity 

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#### Abstract

We prove strong instability (instability by blowup) of standing waves for some nonlinear Schrödinger equations with double power nonlinearity.

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## §1. Introduction

In this paper, we study instability of standing wave solutions $e^{i \omega t} \phi_{\omega}(x)$ for nonlinear Schrödinger equations with double power nonlinearity:

$$
\begin{equation*}
i \partial_{t} u=-\Delta u-a|u|^{p-1} u-b|u|^{q-1} u, \quad(t, x) \in \mathbb{R} \times \mathbb{R}^{N}, \tag{1.1}
\end{equation*}
$$

where $a$ and $b$ are positive constants, $1<p<q<2^{*}-1,2^{*}=2 N /(N-2)$ if $N \geq 3$, and $2^{*}=\infty$ if $N=1,2$.

Moreover, we assume that $\omega>0$ and $\phi_{\omega} \in H^{1}\left(\mathbb{R}^{N}\right)$ is a ground state of

$$
\begin{equation*}
-\Delta \phi+\omega \phi-a|\phi|^{p-1} \phi-b|\phi|^{q-1} \phi=0, \quad x \in \mathbb{R}^{N} . \tag{1.2}
\end{equation*}
$$

For the definition of ground state, see (1.5) below. It is well known that there exists a ground state $\phi_{\omega}$ of (1.2) (see, e.g., $[2,15]$ ).

The Cauchy problem for (1.1) is locally well-posed in the energy space $H^{1}\left(\mathbb{R}^{N}\right)$ (see, e.g., $\left.[3,7,8]\right)$. That is, for any $u_{0} \in H^{1}\left(\mathbb{R}^{N}\right)$ there exist $T^{*}=T^{*}\left(u_{0}\right) \in(0, \infty]$ and a unique solution $u \in C\left(\left[0, T^{*}\right), H^{1}\left(\mathbb{R}^{N}\right)\right)$ of (1.1) with $u(0)=u_{0}$ such that either $T^{*}=\infty$ (global existence) or $T^{*}<\infty$ and $\lim _{t \rightarrow T^{*}}\|\nabla u(t)\|_{L^{2}}=\infty$ (finite time blowup).

Furthermore, the solution $u(t)$ satisfies

$$
\begin{equation*}
E(u(t))=E\left(u_{0}\right), \quad\|u(t)\|_{L^{2}}^{2}=\left\|u_{0}\right\|_{L^{2}}^{2} \tag{1.3}
\end{equation*}
$$

for all $t \in\left[0, T^{*}\right)$, where the energy $E$ is defined by

$$
E(v)=\frac{1}{2}\|\nabla v\|_{L^{2}}^{2}-\frac{a}{p+1}\|v\|_{L^{p+1}}^{p+1}-\frac{b}{q+1}\|v\|_{L^{q+1}}^{q+1} .
$$

Here we give the definitions of stability and instability of standing waves.
Definition 1. We say that the standing wave solution $e^{i \omega t} \phi_{\omega}$ of (1.1) is stable if for any $\varepsilon>0$ there exists $\delta>0$ such that if $\left\|u_{0}-\phi_{\omega}\right\|_{H^{1}}<\delta$, then the solution $u(t)$ of (1.1) with $u(0)=u_{0}$ exists globally and satisfies

$$
\sup _{t \geq 0} \inf _{\theta \in \mathbb{R}, y \in \mathbb{R}^{N}}\left\|u(t)-e^{i \theta} \phi_{\omega}(\cdot+y)\right\|_{H^{1}}<\varepsilon
$$

Otherwise, $e^{i \omega t} \phi_{\omega}$ is said to be unstable.
Definition 2. We say that $e^{i \omega t} \phi_{\omega}$ is strongly unstable if for any $\varepsilon>0$ there exists $u_{0} \in H^{1}\left(\mathbb{R}^{N}\right)$ such that $\left\|u_{0}-\phi_{\omega}\right\|_{H^{1}}<\varepsilon$ and the solution $u(t)$ of (1.1) with $u(0)=u_{0}$ blows up in finite time.

Before we consider the double power case, we recall some well-known results for the single power case:

$$
\begin{equation*}
i \partial_{t} u=-\Delta u-|u|^{p-1} u, \quad(t, x) \in \mathbb{R} \times \mathbb{R}^{N} \tag{1.4}
\end{equation*}
$$

When $1<p<1+4 / N$, the standing wave solution $e^{i \omega t} \phi_{\omega}$ of (1.4) is stable for all $\omega>0$ (see [4]). While, if $1+4 / N \leq p<2^{*}-1$, then $e^{i \omega t} \phi_{\omega}$ is strongly unstable for all $\omega>0$ (see [1] and also [3]).

Next, we consider the double power case (1.1) with $a>0$ and $b>0$. From Berestycki and Cazenave [1], we see that if $1+4 / N \leq p<q<2^{*}-1$, then the standing wave solution $e^{i \omega t} \phi_{\omega}$ of (1.1) is strongly unstable for all $\omega>0$ (see [14] for the case $p=1+4 / N<q$ ).

On the other hand, when $1<p<1+4 / N<q<2^{*}-1$, the standing wave solution $e^{i \omega t} \phi_{\omega}$ of (1.1) is unstable for sufficiently large $\omega$ (see [13]), while $e^{i \omega t} \phi_{\omega}$ is stable for sufficiently small $\omega$ (see [5] and also [12,11] for more results in one dimensional case). However, it was not known whether $e^{i \omega t} \phi_{\omega}$ is strongly unstable or not for the case where $1<p<1+4 / N<q<2^{*}-1$ and $\omega$ is sufficiently large.

Now we state our main result in this paper.
Theorem 1. Let $a>0, b>0,1<p<1+4 / N<q<2^{*}-1$, and let $\phi_{\omega} \in \mathcal{G}_{\omega}$. Then there exists $\omega_{1}>0$ such that the standing wave solution $e^{i \omega t} \phi_{\omega}$ of (1.1) is strongly unstable for all $\omega \in\left(\omega_{1}, \infty\right)$.

For $\omega>0$, we define functionals $S_{\omega}$ and $K_{\omega}$ on $H^{1}\left(\mathbb{R}^{N}\right)$ by

$$
\begin{aligned}
& S_{\omega}(v)=\frac{1}{2}\|\nabla v\|_{L^{2}}^{2}+\frac{\omega}{2}\|v\|_{L^{2}}^{2}-\frac{a}{p+1}\|v\|_{L^{p+1}}^{p+1}-\frac{b}{q+1}\|v\|_{L^{q+1}}^{q+1} \\
& K_{\omega}(v)=\|\nabla v\|_{L^{2}}^{2}+\omega\|v\|_{L^{2}}^{2}-a\|v\|_{L^{p+1}}^{p+1}-b\|v\|_{L^{q+1}}^{q+1}
\end{aligned}
$$

Note that (1.2) is equivalent to $S_{\omega}^{\prime}(\phi)=0$, and

$$
K_{\omega}(v)=\left.\partial_{\lambda} S_{\omega}(\lambda v)\right|_{\lambda=1}=\left\langle S_{\omega}^{\prime}(v), v\right\rangle
$$

is the so-called Nehari functional. We denote the set of nontrivial solutions of (1.2) by

$$
\mathcal{A}_{\omega}=\left\{v \in H^{1}\left(\mathbb{R}^{N}\right): S_{\omega}^{\prime}(v)=0, v \neq 0\right\}
$$

and define the set of ground states of (1.2) by

$$
\begin{equation*}
\mathcal{G}_{\omega}=\left\{\phi \in \mathcal{A}_{\omega}: S_{\omega}(\phi) \leq S_{\omega}(v) \text { for all } v \in \mathcal{A}_{\omega}\right\} \tag{1.5}
\end{equation*}
$$

Moreover, consider the minimization problem:

$$
\begin{equation*}
d(\omega)=\inf \left\{S_{\omega}(v): v \in H^{1}\left(\mathbb{R}^{N}\right), K_{\omega}(v)=0, v \neq 0\right\} \tag{1.6}
\end{equation*}
$$

Then, it is well known that $\mathcal{G}_{\omega}$ is characterized as follows.

$$
\begin{equation*}
\mathcal{G}_{\omega}=\left\{\phi \in H^{1}\left(\mathbb{R}^{N}\right): S_{\omega}(\phi)=d(\omega), K_{\omega}(\phi)=0\right\} \tag{1.7}
\end{equation*}
$$

The proof of finite time blowup for (1.1) relies on the virial identity (1.8). If $u_{0} \in \Sigma:=\left\{v \in H^{1}\left(\mathbb{R}^{N}\right):|x| v \in L^{2}\left(\mathbb{R}^{N}\right)\right\}$, then the solution $u(t)$ of (1.1) with $u(0)=u_{0}$ belongs to $C\left(\left[0, T^{*}\right), \Sigma\right)$, and satisfies

$$
\begin{equation*}
\frac{d^{2}}{d t^{2}}\|x u(t)\|_{L^{2}}^{2}=8 P(u(t)) \tag{1.8}
\end{equation*}
$$

for all $t \in\left[0, T^{*}\right)$, where

$$
P(v)=\|\nabla v\|_{L^{2}}^{2}-\frac{a \alpha}{p+1}\|v\|_{L^{p+1}}^{p+1}-\frac{b \beta}{q+1}\|v\|_{L^{q+1}}^{q+1}
$$

with $\alpha=\frac{N}{2}(p-1), \beta=\frac{N}{2}(q-1)$ (see, e.g., [3]).
Note that for the scaling $v^{\lambda}(x)=\lambda^{N / 2} v(\lambda x)$ for $\lambda>0$, we have

$$
\begin{aligned}
& \left\|\nabla v^{\lambda}\right\|_{L^{2}}^{2}=\lambda^{2}\|\nabla v\|_{L^{2}}^{2},\left\|v^{\lambda}\right\|_{L^{p+1}}^{p+1}=\lambda^{\alpha}\|v\|_{L^{p+1}}^{p+1},\left\|v^{\lambda}\right\|_{L^{q+1}}^{q+1}=\lambda^{\beta}\|v\|_{L^{q+1}}^{q+1}, \\
& \left\|v^{\lambda}\right\|_{L^{2}}^{2}=\|v\|_{L^{2}}^{2}, \quad P(v)=\left.\partial_{\lambda} E\left(v^{\lambda}\right)\right|_{\lambda=1} .
\end{aligned}
$$

The method of Berestycki and Cazenave [1] is based on the fact that $d(\omega)=$ $S_{\omega}\left(\phi_{\omega}\right)$ can be characterized as

$$
\begin{equation*}
d(\omega)=\inf \left\{S_{\omega}(v): v \in H^{1}\left(\mathbb{R}^{N}\right), P(v)=0, v \neq 0\right\} \tag{1.9}
\end{equation*}
$$

for the case $1+4 / N \leq p<q<2^{*}-1$. Using this fact, it is proved in [1] that if $u_{0} \in \Sigma \cap \mathcal{B}_{\omega}^{B C}$ then the solution $u(t)$ of (1.1) with $u(0)=u_{0}$ blows up in finite time, where

$$
\mathcal{B}_{\omega}^{B C}=\left\{v \in H^{1}\left(\mathbb{R}^{N}\right): S_{\omega}(v)<d(\omega), P(v)<0\right\} .
$$

We remark that (1.9) does not hold for the case $1<p<1+4 / N<q<2^{*}-1$.
On the other hand, Zhang [16] and Le Coz [9] gave an alternative proof of the result of Berestycki and Cazenave [1]. Instead of (1.9), they proved that

$$
\begin{equation*}
d(\omega) \leq \inf \left\{S_{\omega}(v): v \in H^{1}\left(\mathbb{R}^{N}\right), P(v)=0, K_{\omega}(v)<0\right\} \tag{1.10}
\end{equation*}
$$

holds for all $\omega>0$ if $1+4 / N \leq p<q<2^{*}-1$ (compare with Lemma 2 below). Using this fact, it is proved in [16,9] that if $u_{0} \in \Sigma \cap \mathcal{B}_{\omega}^{Z L}$ then the solution $u(t)$ of (1.1) with $u(0)=u_{0}$ blows up in finite time, where

$$
\mathcal{B}_{\omega}^{Z L}=\left\{v \in H^{1}\left(\mathbb{R}^{N}\right): S_{\omega}(v)<d(\omega), P(v)<0, K_{\omega}(v)<0\right\} .
$$

In this paper, we use and modify the idea of Zhang [16] and Le Coz [9] to prove Theorem 1. For $\omega>0$ with $E\left(\phi_{\omega}\right)>0$, we introduce

$$
\begin{align*}
\mathcal{B}_{\omega}=\left\{v \in H^{1}\left(\mathbb{R}^{N}\right): 0<E(v)<E\left(\phi_{\omega}\right),\right. & \|v\|_{L^{2}}^{2}=\left\|\phi_{\omega}\right\|_{L^{2}}^{2},  \tag{1.11}\\
& \left.P(v)<0, K_{\omega}(v)<0\right\} .
\end{align*}
$$

Then we have the following.
Theorem 2. Let $a>0, b>0,1<p<1+4 / N<q<2^{*}-1$, and assume that $\phi_{\omega} \in \mathcal{G}_{\omega}$ satisfies $E\left(\phi_{\omega}\right)>0$. If $u_{0} \in \Sigma \cap \mathcal{B}_{\omega}$, then the solution $u(t)$ of (1.1) with $u(0)=u_{0}$ blows up in finite time.

Remark. Our method is not restricted to the double power case (1.1), but is also applicable to other type of nonlinear Schrödinger equations. For example, we consider nonlinear Schrödinger equation with a delta function potential:

$$
\begin{equation*}
i \partial_{t} u=-\partial_{x}^{2} u-\gamma \delta(x) u-|u|^{q-1} u, \quad(t, x) \in \mathbb{R} \times \mathbb{R} \tag{1.12}
\end{equation*}
$$

where $\delta(x)$ is the Dirac measure at the origin, $\gamma>0$ and $1<q<\infty$. The energy of (1.12) is given by

$$
E(v)=\frac{1}{2}\left\|\partial_{x} v\right\|_{L^{2}}^{2}-\frac{\gamma}{2}|v(0)|^{2}-\frac{1}{q+1}\|v\|_{L^{q+1}}^{q+1} .
$$

The standing wave solution $e^{i \omega t} \phi_{\omega}(x)$ of (1.12) exists for $\omega \in\left(\gamma^{2} / 4, \infty\right)$.
For the case $q>5$, it is proved in [6] that there exists $\omega_{2} \in\left(\gamma^{2} / 4, \infty\right)$ such that the standing wave solution $e^{i \omega t} \phi_{\omega}(x)$ of (1.12) is stable for $\omega \in\left(\gamma^{2} / 4, \omega_{2}\right)$, and it is unstable for $\omega \in\left(\omega_{2}, \infty\right)$. Since the graph of the function

$$
E\left(v^{\lambda}\right)=\frac{\lambda^{2}}{2}\left\|\partial_{x} v\right\|_{L^{2}}^{2}-\frac{\gamma \lambda}{2}|v(0)|^{2}-\frac{\lambda^{\beta}}{q+1}\|v\|_{L^{q+1}}^{q+1}
$$

with $\beta=\frac{q-1}{2}>2$ has the same properties as in Lemma 1 for (1.1), we can prove that the standing wave solution $e^{i \omega t} \phi_{\omega}(x)$ of (1.12) is strongly unstable for $\omega$ satisfying $E\left(\phi_{\omega}\right)>0$ (see also Theorem 5 of [10] for the case $\gamma<0$ ).

The rest of the paper is organized as follows. In Section 2, we give the proof of Theorem 2. In Section 3, we show that $E\left(\phi_{\omega}\right)>0$ for sufficiently large $\omega$, and prove Theorem 1 using Theorem 2.

## §2. Proof of Theorem 2

Throughout this section, we assume that

$$
a>0, \quad b>0, \quad 1<p<1+4 / N<q<2^{*}-1, \quad E\left(\phi_{\omega}\right)>0 .
$$

Recall that $0<\alpha=\frac{N}{2}(p-1)<2<\beta=\frac{N}{2}(q-1)$, and

$$
\begin{align*}
& E\left(v^{\lambda}\right)=\frac{\lambda^{2}}{2}\|\nabla v\|_{L^{2}}^{2}-\frac{a \lambda^{\alpha}}{p+1}\|v\|_{L^{p+1}}^{p+1}-\frac{b \lambda^{\beta}}{q+1}\|v\|_{L^{q+1}}^{q+1},  \tag{2.1}\\
& P\left(v^{\lambda}\right)=\lambda^{2}\|\nabla v\|_{L^{2}}^{2}-\frac{a \alpha \lambda^{\alpha}}{p+1}\|v\|_{L^{p+1}}^{p+1}-\frac{b \beta \lambda^{\beta}}{q+1}\|v\|_{L^{q+1}}^{q+1}=\lambda \partial_{\lambda} E\left(v^{\lambda}\right),  \tag{2.2}\\
& K_{\omega}\left(v^{\lambda}\right)=\lambda^{2}\|\nabla v\|_{L^{2}}^{2}+\omega\|v\|_{L^{2}}^{2}-\lambda^{\alpha} a\|v\|_{L^{p+1}}^{p+1}-\lambda^{\beta} b\|v\|_{L^{q+1}}^{q+1} . \tag{2.3}
\end{align*}
$$

Lemma 1. If $v \in H^{1}\left(\mathbb{R}^{N}\right)$ satisfies $E(v)>0$, then there exist $\lambda_{k}=\lambda_{k}(v)$ ( $k=1,2,3,4$ ) such that $0<\lambda_{1}<\lambda_{2}<\lambda_{3}<\lambda_{4}$ and

- $E\left(v^{\lambda}\right)$ is decreasing in $\left(0, \lambda_{1}\right) \cup\left(\lambda_{3}, \infty\right)$, and increasing in $\left(\lambda_{1}, \lambda_{3}\right)$.
- $E\left(v^{\lambda}\right)$ is negative in $\left(0, \lambda_{2}\right) \cup\left(\lambda_{4}, \infty\right)$, and positive in $\left(\lambda_{2}, \lambda_{4}\right)$.
- $E\left(v^{\lambda}\right)<E\left(v^{\lambda_{3}}\right)$ for all $\lambda \in\left(0, \lambda_{3}\right) \cup\left(\lambda_{3}, \infty\right)$.

Proof. Since $a>0, b>0,0<\alpha<2<\beta$ and $E(v)>0$, the conclusion is easily verified by drawing the graph of (2.1) (see Figure 1 below).


Figure 1: The graph of $\lambda \mapsto E\left(v^{\lambda}\right)$ for the case $E(v)>0$.
Lemma 2. If $v \in H^{1}\left(\mathbb{R}^{N}\right)$ satisfies $E(v)>0, K_{\omega}(v)<0$ and $P(v)=0$, then $d(\omega)<S_{\omega}(v)$.
Proof. We consider two functions $f(\lambda)=K_{\omega}\left(v^{\lambda}\right)$ and $g(\lambda)=E\left(v^{\lambda}\right)$.
Since $f(0)=\omega\|v\|_{L^{2}}^{2}>0$ and $f(1)=K_{\omega}(v)<0$, there exists $\lambda_{0} \in(0,1)$ such that $K_{\omega}\left(v^{\lambda_{0}}\right)=0$. Moreover, since $v^{\lambda_{0}} \neq 0$, it follows from (1.6) that

$$
d(\omega) \leq S_{\omega}\left(v^{\lambda_{0}}\right) .
$$

On the other hand, since $g^{\prime}(1)=P(v)=0$ and $g(1)=E(v)>0$, it follows from Lemma 1 that $\lambda_{3}=1$ and $g(\lambda)<g(1)$ for all $\lambda \in(0,1)$.

Thus, we have $E\left(v^{\lambda_{0}}\right)<E(v)$, and

$$
d(\omega) \leq S_{\omega}\left(v^{\lambda_{0}}\right)=E\left(v^{\lambda_{0}}\right)+\frac{\omega}{2}\left\|v^{\lambda_{0}}\right\|_{L^{2}}^{2}<E(v)+\frac{\omega}{2}\|v\|_{L^{2}}^{2}=S_{\omega}(v) .
$$

This completes the proof.
Lemma 3. The set $\mathcal{B}_{\omega}$ is invariant under the flow of (1.1). That is, if $u_{0} \in \mathcal{B}_{\omega}$, then the solution $u(t)$ of (1.1) with $u(0)=u_{0}$ satisfies $u(t) \in \mathcal{B}_{\omega}$ for all $t \in\left[0, T^{*}\right)$.
Proof. Let $u_{0} \in \mathcal{B}_{\omega}$ and let $u(t)$ be the solution of (1.1) with $u(0)=u_{0}$. Then, by the conservation laws (1.3), we have

$$
0<E(u(t))=E\left(u_{0}\right)<E\left(\phi_{\omega}\right), \quad\|u(t)\|_{L^{2}}^{2}=\left\|u_{0}\right\|_{L^{2}}^{2}=\left\|\phi_{\omega}\right\|_{L^{2}}^{2}
$$

for all $t \in\left[0, T^{*}\right)$.
Next, we prove that $K_{\omega}(u(t))<0$ for all $t \in\left[0, T^{*}\right)$. Suppose that this were not true. Then, since $K_{\omega}\left(u_{0}\right)<0$ and $t \mapsto K_{\omega}(u(t))$ is continuous on $\left[0, T^{*}\right)$, there exists $t_{1} \in\left(0, T^{*}\right)$ such that $K_{\omega}\left(u\left(t_{1}\right)\right)=0$. Moreover, since $u\left(t_{1}\right) \neq 0$, by (1.6), we have $d(\omega) \leq S_{\omega}\left(u\left(t_{1}\right)\right)$. Thus, we have

$$
d(\omega) \leq S_{\omega}\left(u\left(t_{1}\right)\right)=E\left(u_{0}\right)+\frac{\omega}{2}\left\|u_{0}\right\|_{L^{2}}^{2}<E\left(\phi_{\omega}\right)+\frac{\omega}{2}\left\|\phi_{\omega}\right\|_{L^{2}}^{2}=d(\omega) .
$$

This is a contradiction. Therefore, $K_{\omega}(u(t))<0$ for all $t \in\left[0, T^{*}\right)$.
Finally, we prove that $P(u(t))<0$ for all $t \in\left[0, T^{*}\right)$. Suppose that this were not true. Then, there exists $t_{2} \in\left(0, T^{*}\right)$ such that $P\left(u\left(t_{2}\right)\right)=0$. Since $E\left(u\left(t_{2}\right)\right)>0$ and $K_{\omega}\left(u\left(t_{2}\right)\right)<0$, it follows from Lemma 2 that $d(\omega)<$ $S_{\omega}\left(u\left(t_{2}\right)\right)$. Thus, we have

$$
d(\omega)<S_{\omega}\left(u\left(t_{2}\right)\right)=E\left(u_{0}\right)+\frac{\omega}{2}\left\|u_{0}\right\|_{L^{2}}^{2}<E\left(\phi_{\omega}\right)+\frac{\omega}{2}\left\|\phi_{\omega}\right\|_{L^{2}}^{2}=d(\omega) .
$$

This is a contradiction. Therefore, $P(u(t))<0$ for all $t \in\left[0, T^{*}\right)$.
Lemma 4. For any $v \in \mathcal{B}_{\omega}$,

$$
E\left(\phi_{\omega}\right) \leq E(v)-P(v) .
$$

Proof. Since $K_{\omega}(v)<0$, as in the proof of Lemma 2, there exists $\lambda_{0} \in(0,1)$ such that $S_{\omega}\left(\phi_{\omega}\right)=d(\omega) \leq S_{\omega}\left(v^{\lambda_{0}}\right)$. Moreover, since $\left\|v^{\lambda_{0}}\right\|_{L^{2}}^{2}=\|v\|_{L^{2}}^{2}=$ $\left\|\phi_{\omega}\right\|_{L^{2}}^{2}$, we have

$$
\begin{equation*}
E\left(\phi_{\omega}\right) \leq E\left(v^{\lambda_{0}}\right) \tag{2.4}
\end{equation*}
$$

On the other hand, since $P\left(v^{\lambda}\right)=\lambda \partial_{\lambda} E\left(v^{\lambda}\right), P(v)<0$ and $E(v)>0$, it follows from Lemma 1 that $\lambda_{3}<1<\lambda_{4}$. Moreover, since $\partial_{\lambda}^{2} E\left(v^{\lambda}\right)<0$ for $\lambda \in\left[\lambda_{3}, \infty\right)$, by a Taylor expansion, we have

$$
\begin{equation*}
E\left(v^{\lambda_{3}}\right) \leq E(v)+\left(\lambda_{3}-1\right) P(v) \leq E(v)-P(v) . \tag{2.5}
\end{equation*}
$$

Finally, by (2.4), (2.5) and the third property of Lemma 1, we have

$$
E\left(\phi_{\omega}\right) \leq E\left(v^{\lambda_{0}}\right) \leq E\left(v^{\lambda_{3}}\right) \leq E(v)-P(v) .
$$

This completes the proof.
Now we give the proof of Theorem 2.
Proof of Theorem 2. Let $u_{0} \in \Sigma \cap \mathcal{B}_{\omega}$ and let $u(t)$ be the solution of (1.1) with $u(0)=u_{0}$. Then, by Lemma $3, u(t) \in \mathcal{B}_{\omega}$ for all $t \in\left[0, T^{*}\right)$.

Moreover, by the virial identity (1.8) and Lemma 4, we have

$$
\frac{1}{8} \frac{d^{2}}{d t^{2}}\|x u(t)\|_{L^{2}}^{2}=P(u(t)) \leq E(u(t))-E\left(\phi_{\omega}\right)=E\left(u_{0}\right)-E\left(\phi_{\omega}\right)<0
$$

for all $t \in\left[0, T^{*}\right)$, which implies $T^{*}<\infty$. This completes the proof.

## §3. Proof of Theorem 1

First, we prove the following lemma.
Lemma 5. Let $a>0, b>0,1<p<1+4 / N<q<2^{*}-1$, and let $\phi_{\omega} \in \mathcal{G}_{\omega}$. Then there exists $\omega_{1}>0$ such that $E\left(\phi_{\omega}\right)>0$ for all $\omega \in\left(\omega_{1}, \infty\right)$.

Proof. Since $P\left(\phi_{\omega}\right)=0$, we see that $E\left(\phi_{\omega}\right)>0$ if and only if

$$
\begin{equation*}
\frac{(2-\alpha) a}{p+1}\left\|\phi_{\omega}\right\|_{L^{p+1}}^{p+1}<\frac{(\beta-2) b}{q+1}\left\|\phi_{\omega}\right\|_{L^{q+1}}^{q+1} . \tag{3.1}
\end{equation*}
$$

Moreover, in the same way as the proof of Theorem 2 in [13], we can prove that

$$
\lim _{\omega \rightarrow \infty} \frac{\left\|\phi_{\omega}\right\|_{L^{p+1}}^{p+1}}{\left\|\phi_{\omega}\right\|_{L^{q+1}}^{q+1}}=0
$$

Thus, there exists $\omega_{1}>0$ such that (3.1) holds for all $\omega \in\left(\omega_{1}, \infty\right)$.
Proof of Theorem 1. Let $\omega \in\left(\omega_{1}, \infty\right)$. Then, by Lemma $5, E\left(\phi_{\omega}\right)>0$.
For $\lambda>0$, we consider the scaling $\phi_{\omega}^{\lambda}(x)=\lambda^{N / 2} \phi_{\omega}(\lambda x)$, and prove that there exists $\lambda_{0} \in(1, \infty)$ such that $\phi_{\omega}^{\lambda} \in \mathcal{B}_{\omega}$ for all $\lambda \in\left(1, \lambda_{0}\right)$.

First, we have $\left\|\phi_{\omega}^{\lambda}\right\|_{L^{2}}^{2}=\left\|\phi_{\omega}\right\|_{L^{2}}^{2}$ for all $\lambda>0$. Next, since $P\left(\phi_{\omega}\right)=0$ and $E\left(\phi_{\omega}\right)>0$, by Lemma 1 and (2.2), there exists $\lambda_{4}>1$ such that

$$
0<E\left(\phi_{\omega}^{\lambda}\right)<E\left(\phi_{\omega}\right), \quad P\left(\phi_{\omega}^{\lambda}\right)<0
$$

for all $\lambda \in\left(1, \lambda_{4}\right)$. Finally, since $P\left(\phi_{\omega}\right)=0$, we have

$$
\left.\partial_{\lambda} K_{\omega}\left(\phi_{\omega}^{\lambda}\right)\right|_{\lambda=1}=-\frac{(p-1) a \alpha}{p+1}\left\|\phi_{\omega}\right\|_{L^{p+1}}^{p+1}-\frac{(q-1) b \beta}{q+1}\left\|\phi_{\omega}\right\|_{L^{q+1}}^{q+1}<0 .
$$

Since $K_{\omega}\left(\phi_{\omega}\right)=0$, there exists $\lambda_{0} \in\left(1, \lambda_{4}\right)$ such that $K_{\omega}\left(\phi_{\omega}^{\lambda}\right)<0$ for all $\lambda \in\left(1, \lambda_{0}\right)$.

Therefore, $\phi_{\omega}^{\lambda} \in \mathcal{B}_{\omega}$ for all $\lambda \in\left(1, \lambda_{0}\right)$. Moreover, since $\phi_{\omega}^{\lambda} \in \Sigma$ for $\lambda>0$, it follows from Theorem 2 that for any $\lambda \in\left(1, \lambda_{0}\right)$, the solution $u(t)$ of (1.1) with $u(0)=\phi_{\omega}^{\lambda}$ blows up in finite time.

Finally, since $\lim _{\lambda \rightarrow 1}\left\|\phi_{\omega}^{\lambda}-\phi_{\omega}\right\|_{H^{1}}=0$, the proof is completed.

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